

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

- (i) * Corporate Identification Number (CIN) of the company
- Global Location Number (GLN) of the company
- * Permanent Account Number (PAN) of the company
- (ii) (a) Name of the company
- (b) Registered office address
- 2nd Floor, Bombay Mutual Building,
Dr. D. N Road, Fort
Mumbai
Maharashtra
400001
- (c) *e-mail ID of the company
- (d) *Telephone number with STD code
- (e) Website
- (iii) Date of Incorporation
- (iv)
- | Type of the Company | Category of the Company | Sub-category of the Company |
|---------------------|---------------------------|-------------------------------|
| Public Company | Company limited by shares | Indian Non-Government company |
- (v) Whether company is having share capital Yes No
- (vi) *Whether shares listed on recognized Stock Exchange(s) Yes No

(b) CIN of the Registrar and Transfer Agent

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	K	Financial and insurance Service	K8	Other financial activities	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	CENTRUM RETAIL SERVICES LIM	U74999MH2014PLC256774	Holding	68.63
2	CENTRUM INVESTMENT ADVIS	U74999MH2015PLC268712	Subsidiary	51

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	30,000,000	20,000,000	20,000,000	20,000,000
Total amount of equity shares (in Rupees)	300,000,000	200,000,000	200,000,000	200,000,000

Number of classes

2

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Class-A				
Number of equity shares	29,000,000	20,000,000	20,000,000	20,000,000
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	290,000,000	200,000,000	200,000,000	200,000,000
Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Class-B				
Number of equity shares	1,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	10,000,000	0	0	0

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	0	0	0	0
Total amount of preference shares (in rupees)	0	0	0	0

Number of classes

0

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Number of preference shares				
Nominal value per share (in rupees)				
Total amount of preference shares (in rupees)				

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	0	20,000,000	20000000	200,000,000	200,000,000	

Increase during the year	0	0	0	0	0	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify <input type="text"/>						
At the end of the year	0	20,000,000	20000000	200,000,000	200,000,000	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify <input type="text"/>						
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0

ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="04/08/2021"/>		
Date of registration of transfer (Date Month Year)	<input type="text" value="31/08/2021"/>		
Type of transfer	<input type="text" value="Equity Shares"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text" value="221,500"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text" value="10"/>

Ledger Folio of Transferor		NA	
Transferor's Name	CRAWFORD BAYLEY AND CC	OPERATED BY	CWML EMPLOYEE SHARES
	Surname	middle name	first name
Ledger Folio of Transferee		NA	
Transferee's Name	LIMITED	RETAIL SERVICES	CENTRUM
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	07/12/2021
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	630,000	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor		NA	
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Transferor's Name	CRAWFORD BAYLEY AND CC	OPERATED BY	CWML EMPLOYEE SHARES
	Surname	middle name	first name

Ledger Folio of Transferee		NA	
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Transferee's Name	LIMITED	SERVICES PRIVATE	JBCG ADVISORY
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	24/01/2022
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor		NA	
Transferor's Name	SHAH	RAMESH	ALPESH
	Surname	middle name	first name
Ledger Folio of Transferee		NA	
Transferee's Name	SHAH	ALPESH	JESAL
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	25/02/2022
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Type of transfer	Equity Shares	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
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Number of Shares/ Debentures/ Units Transferred	1	Amount per Share/ Debenture/Unit (in Rs.)	10
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Ledger Folio of Transferor		NA	
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Transferor's Name	SHAH	ALPESH	JESAL
	Surname	middle name	first name

Ledger Folio of Transferee		NA	
----------------------------	--	----	--

Transferee's Name	NAIK	SHARADCHANDRA	RAJENDRA
	Surname	middle name	first name

Date of registration of transfer (Date Month Year)	
--	--

Type of transfer		1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock
------------------	--	--

Number of Shares/ Debentures/ Units Transferred		Amount per Share/ Debenture/Unit (in Rs.)	
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Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,724,342,332

(ii) Net worth of the Company

170,805,527

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	13,726,500	68.63	0	
10.	Others	0	0	0	
	Total	13,726,500	68.63	0	0

Total number of shareholders (promoters)

7

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	0	0	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	6,273,500	31.37	0	
10.	Others	0	0	0	
	Total	6,273,500	31.37	0	0

Total number of shareholders (other than promoters)

3

**Total number of shareholders (Promoters+Public/
Other than promoters)**

10

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	7	7
Members (other than promoters)	1	3
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	0	0	0	0	0	0
B. Non-Promoter	2	5	2	4	0	0
(i) Non-Independent	2	3	2	2	0	0
(ii) Independent	0	2	0	2	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	2	5	2	4	0	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

8

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SUBHASH GUNDAPPA	00233322	Director	0	
RAJESH KUMAR SRIV.	00302223	Additional director	0	
STEVEN ANGELO PINI	00871062	Director	0	
DEEPA FALI PONCHA	01916512	Director	0	
SETHU RATHNAM GAJ	02370933	Whole-time director	600,000	
ARPITA VINAY	06940663	Whole-time director	550,000	
MAYANK JALAN	AGZPJ6488L	CFO	0	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
SNEHAL MAHENDRA S	DJYPS6693M	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

7

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Rajesh Nanavaty	00005076	Director	03/08/2021	Cessation
Siddhartha Sengupta	08467648	Additional director	08/06/2021	Appointment
Siddhartha Sengupta	08467648	Director	26/08/2021	Cessation
Rajesh Kumar Srivastav	00302223	Additional director	21/10/2021	Appointment
GOPALASAMUDRAM S	00361030	Director	09/05/2021	Cessation
STEVEN ANGELO PINI	00871062	Director	04/08/2021	Change in designation
Siddhartha Sengupta	08467648	Director	04/08/2021	Change in designation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
AGM	04/08/2021	8	5	65.59

B. BOARD MEETINGS

*Number of meetings held

4

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	08/06/2021	7	7	100
2	04/08/2021	6	5	83.33
3	26/10/2021	6	6	100

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
4	27/01/2022	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

5

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	08/06/2021	2	2	100
2	Audit Committee	04/08/2021	2	2	100
3	Audit Committee	26/10/2021	3	3	100
4	Audit Committee	27/01/2022	3	3	100
5	Nomination & Remuneration	08/06/2021	2	2	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	11/08/2022
								(Y/N/NA)
1	SUBHASH GUPTA	4	4	100	5	5	100	Yes
2	RAJESH KUMAR	2	2	100	2	2	100	No
3	STEVEN ANG	4	3	75	2	2	100	No
4	DEEPA FALKE	4	4	100	1	1	100	Yes
5	SETHU RATHNAN	4	4	100	0	0	0	Yes
6	ARPITA VINAYAK	4	4	100	0	0	0	Yes

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SETHU RATHNAM	WTD	14,400,004	0	0	600,000	15,000,004
2	ARPITA VINAY	WTD	13,900,014	0	0	1,474,129	15,374,143
	Total		28,300,018	0	0	2,074,129	30,374,147

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	SNEHAL MAHENDI	CS	785,400	0	0	39,600	825,000
2	Mayank Jalan	CFO	5,684,008	0	0	21,600	5,705,608
	Total		6,469,408	0	0	61,200	6,530,608

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Shviam Sharma

Whether associate or fellow

Associate Fellow

Certificate of practice number

16558

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

ARPITA VINAY
Digitally signed by ARPITA VINAY
Date: 2022.10.06 18:39:49 +05'30'

DIN of the director

06940663

To be digitally signed by

SHARMA SHIVAM
Digitally signed by SHARMA SHIVAM
Date: 2022.10.06 18:42:28 +05'30'

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

List of Shareholders March 31 2022.pdf MGT-8_CWL_2022.pdf UDIN_CWL.pdf
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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

MINISTRY OF CORPORATE AFFAIRS**RECEIPT****G.A.R.7****SRN : F27821933****Service Request Date : 06/10/2022****Payment made into : HDFC Bank****Received From :****Name :** SHIVAM SHARMA**Address :** 401 4th floor, B2-Wing, Rita Palace

Harsha Park, Chandavarkar Road, Borivali West

Mumbai, Maharashtra

IN - 400092

Entity on whose behalf money is paid**CIN:** U65993MH2008PLC178252**Name :** CENTRUM WEALTH LIMITED**Address :** 2nd Floor, Bombay Mutual Building,

Dr. D. N Road, Fort

Mumbai, Maharashtra

India - 400001

Full Particulars of Remittance**Service Type:** eFiling

Service Description	Type of Fee	Amount(Rs.)
Fee for Form MGT-7 for the financial year ending on 2022	Normal	600.00
Total		600.00

Mode of Payment: Internet Banking - HDFC Bank**Received Payment Rupees:** Six Hundred Only

Note –The Registrar may examine this eForm any time after the same is processed by the system under Straight Through Process (STP). In case any defects or incompleteness in any respect is noticed by the Registrar , then this eForm shall be treated and labeled as defective and the eForm shall have to be filed afresh with the fee and additional fee, as applicable. (Please refer Rule 10 of the Companies (Registration offices offices and Fees) Rules, 2014)

LIST OF EQUITY SHAREHOLDERS OF CENTRUM WEALTH LIMITED AS ON 31.03.2022

Sr. No.	Name of Members	Type of Share	No. of Shares	% of Shareholding	Resident/Non-Resident
1	Centrum Retail Services Limited	Equity	1,37,26,494	68.63	Resident
2	CWML-EMPLOYEE SHARES-OPERATED BY-CRAWFORD BAYLEY & CO.	Equity	54,31,000	27.15	Resident
3	JBCG Advisory Services Private Limited	Equity	6,30,000	3.15	Resident
3	CWML-EMPLOYEE SHARES-OPERATED BY-CRAWFORD BAYLEY & CO.	Equity	2,12,500	1.06	Resident
4	Mr. Rajendra Naik Nominee of Centrum Retail Services Limited	Equity	1	0.00001	Resident
5	Mr. Ajay Sharma Nominee of Centrum Retail Services Limited	Equity	1	0.00001	Resident
6	Mr. Shailendra Apte Nominee of Centrum Retail Services Limited	Equity	1	0.00001	Resident
7	Mr. Ashok Poojari Nominee of Centrum Retail Services Limited	Equity	1	0.00001	Resident
8	Ms. Archana Goyal Nominee of Centrum Retail Services Limited	Equity	1	0.00001	Resident

Centrum Wealth Limited (CIN No.: U65993MH2008PLC178252) (Formerly Known as Centrum Wealth Management Limited)
AMFI - registered Mutual Fund Distributor

Corporate Office: Centrum House, CST Road, Vidyanagari Marg, Kalina, Santacruz (East), Mumbai – 400 098 Tel: +91 22 4215 9000

Registered Office: 2nd floor, Bombay Mutual Building, Dr. D. N. Road, Fort, Mumbai – 400 001.

Email: info@centrum.co.in **Website:** www.centrumwealth.co.in

9	Mr. Sriram Venkatasubramanian Nominee of Centrum Retail Services Limited	Equity	1	0.00001	Resident
	Total		2,00,00,000	100.00000	

FOR CENTRUM WEALTH LIMITED

SABOO
SNEHAL
MAHENDRA

Digitally signed
by SABOO
SNEHAL
MAHENDRA
Date: 2022.10.06
17:53:15 +05'30'

SNEHAL SABOO
COMPANY SECRETARY



Form No. MGT-8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Centrum Wealth Limited** (the Company) as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on 31st March, 2022. In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
1. Its status under the Act Public Company;
 2. Maintenance of registers/records and making entries therein detailed within the time prescribed there for;
 3. Filing of forms and returns as stated in the annual return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within the prescribed time except in few cases;
 4. Calling/convening/holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions have been properly recorded in the Minute Book/registers maintained for the purpose and the same have been signed.
 5. Closure of Register of Members/Security holders as the case may be. **Not Applicable;**
 6. There is no instance of Advances/loans to its directors and/or persons or firms or companies referred in section 185 of the Act;
 7. The Company has entered into the related party transactions and comply the applicable provisions of section 188 of the Act;
 8. There is no instant of issue, allotment of shares, or buy back of securities/redemption of preference shares or debentures/alteration or reduction of share capital/conversion of shares/ securities and issue of security certificates in all instances, except transfer and transmission of equity shares;
 9. There is no instance of Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act;



10. There is no instance of Declaration/payment of dividend; transfer of unpaid/unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. Signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof;
12. The Company has complied the provisions in respect of constitution/ /retirement/re-appointment/cessation/disclosures of the Directors and the remuneration paid to them;
13. The Company has complied the provisions of appointment of statutory auditors as per the provisions of section 139 of the Act;
14. There is no instance of Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities, under the various provisions of the Act;
15. The Company has not Accepted/ renewed/ repaid deposits;
16. The Company has not borrowed from bank, financials except related party and others. There was no Creation/modification/satisfaction of charges during the period.
17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. The Company has not altered the provisions of the Memorandum of Association and Articles of Association.

C. Reported that:

i. On account of resignation(s) and changes in the Directorship of the Company, the Nomination & Remuneration Committee and the Audit Committee had only 2 Directors as the Committee Members at the Meeting(s) of the aforesaid Committees held on June 8, 2021 and August 4, 2021. However, the quorum of 2 (two) Committee Members present was maintained throughout the Meeting(s).

Thanking You
For Shivam Sharma & Associates
Company Secretaries

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Date: 2022.10.06
12:45:10 +05'30'
SHIVAM

Shivam Sharma

M. No.: A35727, CP. No.: 16558

Peer Review Certificate No.: 1811/2022.

UDIN: A035727D001141623

Date: 06/10/2022

Place: Mumbai

UDIN: A035727D001148454